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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09975296	10/12/01	SHENG et al.	10016850-1

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. box 272400  
Fort Collins, CO 80527-2400

Date Mailed: 12/21/01

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Publication and General Rules Issues

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- An amendment or Application Data Sheet is needed to make this change.
- The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. See MPEP 605.02.
- Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet.

Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).

The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt.

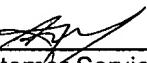
The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213.

There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.

Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.

The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".

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(703) 308-1202

I hereby certify that this correspondence is being facsimile transmitted to  
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Date of Facsimile: 12/14/01   
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Attn: Application Processing Division  
Customer Correction Branch  
United States Patent and Trademark Office

Fax No.: 703-308-7751

1ST REQUEST

Regarding:

Patent Application No.: 10016850-1  
Inventor(s): Xia Sheng, et al  
Serial No.: 09/975296  
Filed: 10/12/2001  
Group Art Unit: 2811  
Title: Apparatus and Method for Field-enhanced mis/mim  
electron emitters

Dear Sirs:

We hereby request a correction to the enclosed filing receipt. Please correct the title:

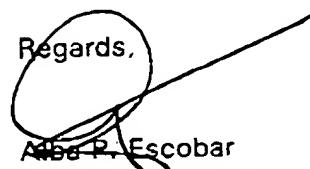
**Apparatus and Method for Field-enhanced MIS/MIM electron emitters**

Applicants respectfully request the correction of the official records to show the correct title. Please forward a corrected Filing Receipt at your earliest convenience.

If you have any question or comments, please do not hesitate to call me at (650) 857-4592

Thank for your assistance in this matter.

Regards,

  
Alba P. Escobar  
Legal Administrator  
Hewlett-Packard Company

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Page 1 of 2



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NOV 26 2001

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/975,296 X	10/12/2001 X	2811	1046	10016850-1 X	6	37	2

THD  
VAL-PA

CONFIRMATION NO. 1287

FILING RECEIPT



OC000000007054301

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Xia Sheng, Los Altos, CA; X  
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Steven Louis Naberhuis, Fremont, CA; X

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 11/09/2001

Projected Publication Date: 04/17/2003

Non-Publication Request: No

Early Publication Request: No

APPARATUS AND METHOD FOR  
FIELD-ENHANCED MIS/MIM  
ELECTRON EMITTERS

S/B

please review

Title

Field-enhanced MIS / MIM electron emitters

Preliminary Class

257

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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